

Fund Connect®

Managing short-term liquidity during a time of market uncertainty and regulatory change can prove to be a challenge. Institutional investors and corporate clients seek efficient money market execution and settlement services for their short-term portfolios. Fund Connect meets these needs by providing a robust and automated liquidity management solution.

Product Overview

Fund Connect is a tool for navigating money market funds. It provides the information and functionality you need to streamline the investment process and facilitate your oversight and control over a global investment portfolio. Its advanced technology allows you to automate and consolidate daily investment and reporting processes across a range of investment vehicles.

To meet your specific risk and diversification requirements, Fund Connect supports trading across multiple account structures in a single user-friendly interface. It provides connectivity through multiple transmission protocols to your critical systems, global transfer agents, banks and custodians, which mitigates manual entry risks and supports real-time trade execution and settlement.

As an S&P AA- rated,* global systemically important bank, our clients include asset managers, asset owners, insurers, sovereign wealth funds and regional banks. For institutions looking to leverage our expertise in state-of-the-art technology, Fund Connect is available as a white label offering, allowing approved partners to create their self-branded liquidity management system with minimal capital expenditure.



Trade and manage short-term liquidity through a single, intuitive interface



Benefit from straight-through processing with asset managers, treasury management systems, custodians and other platforms



Analyze and evaluate the impact of trades on portfolios at the macro and micro levels



Fund Connect has introduced a platform upgrade with an all new user interface experience, featuring the addition of a “widget enabled” customizable dashboard

*Standard and Poor's, December 2020

Product Overview (cont.)

Fund Connect is a part of GlobalLink, our global suite of electronic trading platforms that offer a single interface for managing short-term liquidity through an innovative electronic trading solution. It supports multiple money market account structures, including fully disclosed, omnibus and nominee, all of which can be used in various combinations through a single login.

Users benefit from a single interface for trading, reporting and managing cash, facilitating a streamlined investment process. With straight-through processing, the platform integrates fund information, trading and settlement with several treasury and accounting systems.

Transparency Connect

Transparency Connect is a highly intuitive and interactive way to visualize and examine underlying exposures by issuer, country, instrument, sector, maturity bucket and rating. It offers powerful risk management capabilities with information on more than 400 funds across multiple currencies.

Strength and Scale — we have the resources, expertise and infrastructure necessary to help you manage your short-term liquidity needs and mitigate portfolio risk.

*as of December 2020

Virtual Portfolio

With Fund Connect, investors also have the ability to evaluate how different investment scenarios may impact your portfolio at the macro and micro levels. The Virtual Portfolio tool allows you to simulate the impact of potential impact trades on exposures at the fund provider, issuer, country and instrument level.

Reporting Suite

Spanning seven years of trade history, Fund Connect's Reporting Suite offers a range of dashboards, drill-downs and reports that can be customized, saved and exported.

Compliance Tools

Pre-trade compliance rules can be set up across each investment to ensure internal and external regulatory requirements are always met. Drill-down capabilities are available to support detailed analysis. These include:

- Percent-owned allocations for each fund
- Maximum market value for each fund
- Multiple signature approval functionality

Broad Market Coverage — offering access to more than 25 leading fund providers with \$200 billion in assets under management across 300 funds in eight currencies — Fund Connect provides access to a wide market of options.*

Automation and Integration

Straight-Through Processing

By offering connectivity to your clients' mission-critical systems, global transfer agents and banks and custodians, Fund Connect helps mitigate manual entry risks and supports real-time trade execution and settlement. You receive daily fund information, account balances, month-to-date accruals and real-time reports.

Auto-Settlement

Fund Connect allows you to send custody settlement and payment instructions in real-time via SWIFT to any global bank or custodian on the SWIFT network.

- Standard Settlement Instructions (SSIs) are subject to multiple layers of approval, ensuring complete accuracy
- Segregated trading and settlement approval workflow have been designed to help meet your internal operational and compliance requirements

Improves Efficiency — with its powerful tools for analyzing and viewing data, easy integration with other systems and automated trade execution and settlement features, Fund Connect helps improve efficiencies and cut costs.

Risk Management and Reporting

Fund Connect offers a fully integrated analytics package that supports multiple asset classes, including; 2A-7 funds, offshore money market funds, ultra-short duration bond funds, Repo, time deposits and separately managed accounts (SMAs). Clients can analyze exposures across a number of factors, including; issuer, country, asset type, liquidity profiles, maturity and rating.

Fund Connect offers a user experience with advanced functionality that includes straight-through processing, auto-settlement, risk mitigation and management tools and support for direct and intermediary trading models.

To learn more about Fund Connect, e-mail:
fundconnect@statestreet.com

Disclaimers and Important Risk Information

State Street DigitalSM and Fund Connect[®] are the business names and registered marks of State Street Corporation[®] (collectively "State Street"). The products and services outlined herein are only offered to Professional Clients or Eligible Counterparties in the United Kingdom and European Economic Area and to institutional investors or their equivalent in the United States and other jurisdictions. Products and services may not be available in all jurisdictions.

Depending upon several factors including, but not limited to, the product, access model and/or jurisdiction in which services are accessed, there may be one or more entities offering the product and services. Each offering entity is subject to varying degrees of oversight and regulation. The oversight and/or regulation of an offering entity engaging in certain activities should not be construed to mean that all products and services offered by such offering entity are subject to the same degree of oversight and/or regulation. Please note certain of the Fund Connect products and services are not subject to prescriptive regulation in certain jurisdictions. Fund Connect-related products and services are offered in the United States by State Street Global Markets, LLC, a broker-dealer registered with the U.S. Securities and Exchange Commission and a member of FINRA and SIPC, in Canada by State Street Global Markets Canada, Inc., a member of the Investment Industry Regulatory Authority of Canada ("IIROC"), the Canadian Investor Protection Fund and the Toronto Stock Exchange, in the EEA by State Street Bank International GmbH, authorised by Deutsche Bundesbank and the German Federal Financial Supervisory Authority (BaFIN), and in the United Kingdom and other jurisdictions by State Street Global Markets International Limited, authorized and regulated by the Financial Conduct Authority. As applicable, further detail regarding the regulation of a particular offering entity is available upon request.

This document is for marketing and/or informational purposes only; it does not take into account any investor's particular investment objectives, strategies or tax and legal status, nor does it purport to be comprehensive or intended to replace the exercise of a subscriber's own careful independent judgement regarding any corresponding

investment decision. This document is intended for distribution to professional investors and is not directed at retail customers. This document and the information herein does not constitute investment, legal, or tax advice and is not a solicitation to buy or sell securities or any other financial products or services, nor is it intended to constitute any binding contractual arrangement or commitment by State Street to provide securities or any other services.

The information provided herein has been obtained from sources believed to be reliable at the time of publication; nonetheless, we cannot guarantee nor do we make any representation or warranty as to its accuracy and you should not place any reliance on said information. State Street hereby disclaims all liability, whether arising in contract, tort or otherwise, for any losses, liabilities, damages, expenses or costs arising, either direct or consequential, from or in connection with the use of this document and/or the information herein. For more detail on Fund Connect and related services generally, please refer to the Fund Connect Description of Services and Conflicts of Interest.

Clients should be aware of the risks of participating in trading money market instruments or in investments in non-liquid or emerging markets. Clients should be aware that products and services outlined herein may put their capital at risk. Further, past performance is no guarantee of future results and, where applicable, returns may increase or decrease as a result of currency fluctuations.

This communication is not intended for distribution to, and may not be relied upon by, any person or entity in any jurisdiction or country where such distribution or use would be contrary to applicable law or regulation. This publication or any portion hereof may not be reprinted, sold or redistributed without the prior written consent of State Street. GL FC 2022-01

To learn how State Street looks after your personal data, visit: <http://www.statestreet.com/utility/data-processing-and-privacy-notice.html>

STATE STREET

State Street Corporation
One Lincoln Street, Boston, MA 02111
www.statestreet.com

© 2022 State Street Corporation and/or its applicable third party licensor – All Rights Reserved
Expires 31/01/2023, 4275178.1.1.AM.