

List of EU competent authorities for external reporting of Whistleblowing matters¹

Introduction

The EU Whistleblowing Directive (“**the Directive**”) has been implemented in a number of European jurisdictions across which State Street operates. Under the Directive, State Street is required to provide certain information regarding the procedures for reporting externally to competent authorities and, where relevant, to institutions, bodies, offices or agencies of the Union.

The below table provides a non-exhaustive summary of external reporting options by country. Notwithstanding options to report externally, State Street encourages reporters to utilise internal reporting channels at the first instance via our [Speak Up Line](#).

Country	External Reporting
France	Reports may be made to the following competent authorities in this jurisdiction, including but not limited to: - One of the competent authorities, including: - Financial Markets Authority (AMF): Whistleblower AMF (amf-france.org) or French Banking Authority (ACPR): Signaler à l'ACPR un manquement ou une infraction ACPR (banque-france.fr); - French Anti-Corruption Agency (AFA), for violations of integrity: Faire un signalement à l'Agence française anticorruption Agence française anticorruption (agence-francaise-anticorruption.gouv.fr);

¹ Please note that this list is non-exhaustive and contains information relating to the main regulators in various jurisdictions for the reporting of Whistleblowing matters.

Country	External Reporting
	○ General Directorate of Public Finances (DGFiP), for value added tax fraud: Contact impots.gouv.fr; ○ French Data Protection Authority ("CNIL"): La mise à jour du référentiel relatif aux dispositifs d'alerte professionnelle en questions CNIL; ○ National Information Systems Security Agency (ANSSI): Signalement par un lanceur d'alerte : adresser une alerte à l'ANSSI ANSSI (cyber.gouv.fr). 2. the Defender of Rights, who will direct the reporter to the appropriate authority; 3. the judicial authority; 4. an institution, body or agency of the European Union competent to collect information on violations falling within the scope of Directive (EU) 2019/1937 of the European Parliament and of the Council of 23 October 2019.
Germany	Reports may be made to the following competent authorities in this jurisdiction, including but not limited to: • Federal Office of Justice (Bundesamt für Justiz) maintains a central external reporting channel BfJ - Hinweisgeberstelle (bundesjustizamt.de); • Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht) BaFin: BaFin - Contact Point for Whistleblowers; • Federal Cartel Office (Bundeskartellamt) Overview (bkms-system.net)
Italy	Reports may be made to the following competent authorities in this jurisdiction, including but not limited to: • Autorità Nazionale AntiCorruzione (ANAC) – for certain breaches of Union law Whistleblowing - www.anticorruzione.it • Bank of Italy: Bank of Italy - Internal and external whistleblowing reports (bancaditalia.it) • ConSob: Whistleblowing - AREA PUBBLICA - CONSOB
Luxembourg	Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:

Country	External Reporting
	• The Luxembourg Financial Services Authority – CSSF CSSF - Whistleblowing; • The Luxembourg Insurance Commission – CAA Whistleblowing / Lanceur d'alerte - Commissariat aux Assurances (caa.lu); • The Luxembourg Data Protection Commission – CNPD Whistleblowers - National Data Protection Commission - Luxembourg (public.lu)
Netherlands	Reports may be made to the following competent authorities in this jurisdiction, including but not limited to: • Dutch Authority for the Financial Markets (AFM) Making a notification about an incident or wrongdoing (afm.nl); • Dutch Whistleblower Authority: English Huisvoorkloekenluiders; • Dutch Central Bank: DNB: Integrity Reporting Desk (dnb.nl); • Data Protection Authority: Een tip of klacht indienen bij de AP Autoriteit Persoonsgegevens
Poland	Reports may be made to the following competent authorities in this jurisdiction, including but not limited to: • Commissioner for Human Rights Motion to the commissioner for civil rights protection (brpo.gov.pl); • Data Protection Office ("Urząd Ochrony Danych Osobowych, UODO" Lodging a complaint; • The Polish Financial Supervision Authority ("Komisja Nadzoru Finansowego") https://www.knf.gov.pl/en/CONSUMERS • National Labour Inspectorate ("Państwowa Inspekcja Pracy"): https://www.pip.gov.pl/en/for-employees/how-to-file-a-complaint

In addition to the above mentioned competent authorities, reporting can also be made to the European Central Bank: whistleblowing.bankingsupervision.europa.eu.