

Basic Policy against Anti-Social Forces

November 1, 2011
State Street Trust & Banking, Co., Ltd.

SSTB hereby establishes the following fundamental principles to seclude ourselves from any relationship with anti-social forces to maintain the public's confidence and secure appropriateness and soundness of our business operations.

1. SSTB will not have any relationship with anti-social forces.
2. SSTB will not engage in a secret deal nor provide any facilities or funds to anti-social forces.
3. SSTB will strongly refuse any undue demands by anti-social forces and take legal measures, both civil and criminal, if necessary.
4. SSTB will establish close cooperative relationships with external specialists including police, attorneys, etc., to deal with anti-social forces.

End