

美商道富銀行臺北分行資訊安全整體執行情形聲明書

State Street Bank and Trust Company, Taipei Branch

OVERALL INFORMATION SECURITY IMPLEMENTATION STATEMENT

謹代表美商道富銀行臺北分行聲明本銀行於 108 年 01 月 01 日至 108 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」第三十八條第五款、第三十八條之一規定，及同業公會所訂資訊安全自律規範。經審慎評估，本年度資訊安全整體執行情形，均能確實有效執行。如有虛偽，願受法律制裁。

On behalf of State Street Bank and Trust Company, Taipei Branch (“the Bank”), we hereby certify that from January 1, 2019 to December 31, 2019, the Bank has duly complied with the Article 38, Item 5 and Article 38-1, of “Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries” as well as information security self-regulatory guidelines issued by the industry association. After prudent evaluation, overall information security has been effectively implemented during the year. If there is any fraud, the Bank will accept any punishment by law.

謹 致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

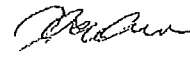
指定代表：

Designated Representative

陳怡蓉

(簽章)

(Signature)



總稽核／或負責臺灣區稽核業務之主管：

Auditor in charge of auditing on Taipei Branch

Purcell Won

(簽章)

(Signature)



資安專責單位主管：

Head of Information Security Officer

Lai Sun Chan

(簽章)

(Signature)



中 華 民 國 109 年 3 月 11 日